## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Ni et al.

Application Serial No.: 10/041,574

Art Unit: 1646

Filed: January 10, 2002

Examiner: O'Hara, E.

For:

Human Tumor Necrosis Factor

Attorney Docket No.: PF375P1D1

Receptor TR9

STATEMENT BY ATTORNEY FOR APPLICANTS REGARDING PERMANENCE AND

AVAILABILITY OF DEPOSITED PLASMIDS

PECEIVED

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

OCT 2 2 2003

Sir:

**TECH CENTER 1600/2900** 

The undersigned attorney of record hereby states:

- 1. A plasmid containing human cDNA encoding a Human Tumor Necrosis Factor Receptor TR9 polypeptide was deposited under the terms of the Budapest Treaty on May 15, 1997 at the American Type Culture Collection (ATCC), Patent Depository, 10801 University Boulevard, Manassas, Virginia 20110-2209 (current address), and given accession number 209037.
- 2. Assurance is hereby given that: (1) the deposit was made under terms of the Budapest Treaty; (2) except for the limitations allowed by 37 C.F.R. § 1.808(b), all restrictions on the availability to the public of the deposit will be irrevocably removed upon the granting of a patent from the captioned application; (3) the deposit has been made under conditions such that access to the material will be available during the pendency of the captioned patent application to one determined by the Commissioner; and (4) the deposit will be maintained with the care necessary to keep it viable and uncontaminated for a period of at least five years after the most recent request for the furnishing of a sample of the deposit, and in any case, for a period of at least thirty years after the date of deposit or for the enforceable life of the patent, whichever period is longer.

Respectfully submitted,

Date: October 15, 2003

Lin J. Hymel (Reg. No. 45,414)

Attorney for Applicants

OT PETO

Signature

Use in lieu of PTO/SB/17 (08-03)

October 15, 2003

TO A NOVALTTA I					(Form u	pdated to reflect FY 2004 fees ef	tective 10/1/03)	
FEE TO A NICHITTAL		Complete if Known						
FEE TRANSMITTAL	-	Application Number			er	10/041,574-Conf. #7011		
for FY 2004		Filing Date				January 10, 2002		
		First N	lame	ed Inven	tor	Jian Ni	ECEIV	FI
Effective 10/01/2003, Patent fees are subject to annual revision.		Exami	ner N	lame		E. O'Hara		L !
Applicant claims small entity status. See 37 CFR 1.27		Art Un	it			1646	07.00	
TOTAL AMOUNT OF PAYMENT (\$) 110.00		Attom	ey Do	ocket No	).	PF375P1D1 U	CT 2 2 20	103
METHOD OF PAYMENT (check all that apply)				FEE	CALCU	LATION (continued)		
Check Credit Money Other None	3. 4	ADDITIO	DNAL	L FEES		TECH (	CENTER 16	00/
X Deposit Account:	Lara	o Entity	Cm <sub>2</sub>	II Entity			1	
Deposit Account 08-3425	Fee	e Entity Fee	Fee	II Entity Fee	•	Fac Description		
Number	Code	(\$)	Code	<b>(\$)</b>		Fee Description	Fee Paid	
Deposit Account Human Genome Sciences, Inc.	1051	130	2051	65	Surcharge	- late filing fee or oath		
Name The Director is authorized to: (check all that apply)	1052	50	2052	25	Surcharge sheet.	- late provisional filing fee or cov	/er	
X Charge fee(s) indicated below X Credit any overpayments	1053	130	1053	3 130		sh specification		
Charge any additional fee(s) during the pendency of this	1812		1812		•	request for ex parte reexamination		
application	1804	920*	1804	•	Requestin	g publication of SIR prior to		
Charge fee(s) indicated below, except for the filing fee					Examiner Requesting	action g publication of SIR after		
to the above-identified deposit account.	1805		1805		Examiner	action ———		
FEE CALCULATION	1251	110	2251			for reply within first month	<del></del>	
1. BASIC FILING FEE Large Entity Small Entity	1252 1253	420 950	2252 2253			for reply within second month for reply within third month		
Fee Fee Fee Fee Fee Description Fee Paid	1254		2254			for reply within fourth month		
Code (\$) Code (\$) 1001 770 2001 385 Utility filing fee	1255		2255			for reply within fifth month		
1001 770 2001 385 Utility filing fee 1002 340 2002 170 Design filing fee	1401	330	2401	-	Notice of A		<del></del>	
1003 530 2003 265 Plant filing fee	1402		2402			ief in support of an appeal		
1004 770 2004 385 Reissue filing fee	1403		2403		_	or oral hearing		
1005 160 2005 80 Provisional filing fee	1451	1,510.	1451	1,510	Petition to	institute a public use proceeding		
SUBTOTAL (1) (\$) 0.00	1452	110	2452	2 55	Petition to	revive – unavoidable		
SUBTOTAL (1) (\$) 0.00	1453	1,330	2453	665	Petition to	revive - unintentional		
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501	1,330	2501	665	Utility issu	e fee (or reissue)		
Extra Fee from Claims below Fee Paid	1502	480	2502	240	Design iss	ue fee		
Total Claims 101 -105** = x = 0.00	1503	640	2503	320	Plant issue	e fee		
Independent Claims x = 0.00	1460	130	1460	130	Petitions to	o the Commissioner		
Multiple Dependent =	1807	50	1807	7 50	Processing	g fee under 37 CFR 1.17(q)		
Large Entity   Small Entity	1806	180	1806	180	Submissio	n of Information Disclosure Stmt		
Fee Fee Fee Fee Fee Description	8021	40	8021	40		each patent assignment per		
Code (\$) Code (\$) 1202 18 2202 9 Claims in excess of 20	1					imes number of properties) bmission after final rejection		
1201 86 2201 43 Independent claims in excess of 3	1809	770	2809	385	(37 ČFR 1	.129(a))	<b>└</b>	
1203 290 2203 145 Multiple dependent claim, if not paid	1810	770	2810	385		additional invention to be (37CFR 1.129(b))		
1204 86 2204 43 ** Reissue independent claims	1801	770	2801	385	Request fo	or Continued Examination (RCE)		
over original patent  1205 18 2205 9 ** Reissue claims in excess of 20	1802	900	1802	900		or expedited examination		
and over original patent	Other	fee (spe	cify)	1814	Statutory I	• •	110.00	
SUBTOTAL (2) (\$) 0.00	•Red	uced by E	Basic I	Filing Fee	Paid	SUBTOTAL (3) (\$)	110.00	
**or number previously paid, if greater, For Reissues, see above						· · · · · · · · · · · · · · · · · · ·		
SUBMITTED BY						(Complete (if applicable))		
Name (Print/Type) Lin J. Hymel		tration No ney/Agent)		5,414		Telephone (301) 251-60	15	
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